Strength-Training Protocols to Improve Deficits in Participants With Chronic Ankle Instability: A Randomized Controlled Trial

Emily A. Hall, MS, LAT, ATC*; Carrie L. Docherty, PhD, ATC, FNATA*; Janet Simon, PhD, ATC†; Jackie J. Kingma, DPT, ATC, PA-C*; Joanne C. Klossner, PhD, LAT, ATC*

*School of Public Health, Indiana University, Bloomington; †College of Health Sciences, University of Toledo, OH

Context: Although lateral ankle sprains are common in athletes and can lead to chronic ankle instability (CAI), strength-training rehabilitation protocols may improve the deficits often associated with CAI.

Objective: To determine whether strength-training protocols affect strength, dynamic balance, functional performance, and perceived instability in individuals with CAI.

Design: Randomized controlled trial.

Setting: Athletic training research laboratory.

Patients or Other Participants: A total of 39 individuals with CAI (17 men [44%], 22 women [56%]) participated in this study. Chronic ankle instability was determined by the Identification of Functional Ankle Instability Questionnaire, and participants were randomly assigned to a resistance-band-protocol group (n = 13 [33%] age = 19.7 \pm 2.2 years, height = 172.9 \pm 12.8 cm, weight = 69.1 \pm 13.5 kg), a proprioceptive neuromuscular facilitation strength-protocol group (n = 13 [33%], age = 18.9 \pm 1.3 years, height = 172.5 \pm 5.9 cm, weight = 72.7 \pm 14.6 kg), or a control group (n = 13 [33%], age = 20.5 \pm 2.1 years, height = 175.2 \pm 8.1 cm, weight = 70.2 \pm 11.1 kg).

Intervention(s): Both rehabilitation groups completed their protocols 3 times/wk for 6 weeks. The control group did not attend rehabilitation sessions.

Main Outcome Measure(s): Before the interventions, participants were pretested by completing the figure-8 hop test for time, the triple-crossover hop test for distance, isometric strength tests (dorsiflexion, plantar flexion, inversion, and eversion), the Y-Balance test, and the visual analog scale for perceived ankle instability. Participants were again tested 6 weeks later. We conducted 2 separate, multivariate, repeatedmeasures analyses of variance, followed by univariate analyses on any significant findings.

Results: The resistance-band protocol group improved in strength (dorsiflexion, inversion, and eversion) and on the visual analog scale (P < .05); the proprioceptive neuromuscular facilitation group improved in strength (inversion and eversion) and on the visual analog scale (P < .05) as well. No improvements were seen in the triple-crossover hop or the Y-Balance tests for either intervention group or in the control group for any dependent variable (P > .05).

Conclusions: Although the resistance-band protocol is common in rehabilitation, the proprioceptive neuromuscular facilitation strength protocol is also an effective treatment to improve strength in individuals with CAI. Both protocols showed clinical benefits in strength and perceived instability. To improve functional outcomes, clinicians should consider using additional multiplanar and multipoint exercises.

Key Words: functional ankle instability, functional performance, rehabilitation, Star Excursion Balance Test

Key Points

- Proprioceptive neuromuscular facilitation is an alternate strength-training protocol that was effective in enhancing ankle strength in those with chronic ankle instability.
- Neither the resistance-band protocol nor the proprioceptive neuromuscular facilitation protocol improved dynamic balance or functional performance in individuals with chronic ankle instability.

L ateral ankle sprains are very common in athletes¹ and account for 80% of injuries to the ankle.² These injuries can cause damage to the ligaments, muscles, nerves, and mechanoreceptors that cross the lateral ankle.³ Repetitive occurrences of lateral ankle sprains can lead to chronic ankle instability (CAI),⁴⁻⁶ which is characterized by a subjective feeling of recurrent instability, repeated episodes of giving way, weakness during physical activity, and self-reported disability.^{5,7,8} Patients with CAI often exhibit deficits in functional performance,^{9–13} proprioception,^{5,14–16} and strength.^{4,5,16,17} Because muscle weakness is associated with CAI, strength training is an essential part of the rehabilitation protocol¹⁷ to reduce the residual symptoms and, we hope, to prevent further episodes of instability from occurring. Strength training improves the physical conditioning of participants with ankle instability.^{16,18–25} Strength training is thought to promote muscular gains during the first 3 to 5 weeks because it enhances neural factors.²⁶ Therefore, strength training may improve proprioception and balance deficits.^{18,24,25} Conflicting findings exist in the current literature^{14,23}; thus, the relationship between strength

Most authors^{18,20,21,23,25} who have investigated the effect of strength training in people with CAI have used resistivetubing exercises 3 times/wk for 4 weeks²⁰ to 6 weeks.^{18,21,23,25} Other rehabilitation protocols have involved manual resistance at the ankle²² and isokinetic strength training.²⁴ Some researchers^{18,21,23–25} focused on strengthtraining protocols alone, whereas others^{19,20,22,27,28} have used multicomponent protocols that included balance exercises. Improvements in strength,^{18,24,25} static balance,²⁴ joint position sense,¹⁸ and functional performance tests²⁴ were reported.

Proprioceptive neuromuscular facilitation (PNF) is another form of progressive strength training that emphasizes multiplanar motion.²⁹ The goal of PNF techniques is to promote functional movement through facilitation (strengthening) and inhibition (relaxation) of muscle groups.³⁰ Although it is used more often at the shoulder, hip, and knee joints, PNF can also be used at the ankle.31 Two studies^{32,33} compared the differences between common lower extremity strength-training programs and PNF strength-training patterns. The PNF pattern for both studies used the sequential movements of toe flexion, ankle plantar flexion and eversion, knee and hip extension, abduction, and internal rotation in the lower extremity. The PNF strength patterns were as effective as isokinetic training³² and weight training³³ in improving knee strength and functional performance. Based on the deficits seen in patients with CAI, PNF may be a beneficial treatment approach. Because PNF patterns are similar to functional movement patterns,²⁹ PNF strength techniques may also improve dynamic balance and functional performance.

Although a multicomponent rehabilitation protocol is often used after an injury, examining 1 component, such as strength, in a controlled research setting will allow us to determine the effectiveness of a single approach. If strength training alone can improve multiple deficits seen in patients with CAI, it could save time for both clinician and patient. A resistance-band protocol has already been established as an effective strength-training protocol in improving some deficits in people with CAI.^{18,24,25} Therefore, the purpose of our study was to compare the effects of resistance-band (RBP) and PNF protocols on strength, dynamic balance, functional performance, and perceived instability in individuals with CAI.

METHODS

Participants

A total of 55 people with CAI from a local university community (Bloomington, IN) volunteered for this study. Chronic ankle instability was determined by the Identification of Functional Ankle Instability Questionnaire, which is an accurate tool for identifying individuals with CAI.³⁴ Volunteers qualified if they had a score of 11 or more.³⁴ If both ankles qualified, the ankle with the highest score (ie, the most severely affected ankle) was considered the involved limb. Volunteers did not qualify if they had pain or swelling in the ankle, had participated in formal rehabilitation within the past 3 months, had a history of lower extremity surgery or fracture in the involved limb, or

had any diagnosed neurologic dysfunction, such as multiple sclerosis, Parkinson disease, or head injury. To estimate the appropriate sample size, we conducted a power analysis before the study. The α level was set a priori at P = .05, and power was set at 80%. Effect size was estimated at 1.23, which was calculated based on previous strength literature.^{18,35} Results of the power analysis indicated that 12 participants per group would provide sufficient power.

Participants were excluded after beginning the study if they developed a nonrelated lower extremity injury or were noncompliant. Compliance was determined by the number of rehabilitation sessions attended. Participants were excluded if they attended less than 80% of the 18 sessions. The final number of participants was 39 (71%). Further articulation of participant flow is shown in Figure 1. Descriptive data for each group are available in Table 1. Before the study began, all participants read and signed an informed consent form approved by the University's Institutional Review Board for the Protection of Human Participants, which also approved the study.

Procedures

Each participant performed baseline testing for isometric strength, dynamic balance, functional performance, and perceived instability for the involved limb only. Testing order of the variables was counterbalanced using a counterbalanced matrix. Immediately after the baseline testing, each participant was sequentially allocated by the researcher (E.A.H.) to 1 of 3 groups in a 1:1:1 ratio: the RBP group, the PNF group, or the control (CON) group. Those in the RBP and PNF groups participated in their assigned treatment protocol 3 times/wk for 6 weeks. Each person met individually with the investigator and progressed at the same rate to allow consistency among participants. After 6 weeks, posttest measures for strength, dynamic balance, functional performance, and perceived instability were tested in all participants. Those in the CON group participated only in the pretest and posttest. All testing and rehabilitation sessions were performed in the athletic training research laboratory.

Strength Testing. An isometric handheld dynamometer (Manual Muscle Testing System: Lafayette Instruments Co. Lafayette, IN) was used to assess strength. The instrument was calibrated before each participant was tested. Four directions were tested: dorsiflexion, plantar flexion, inversion, and eversion. Participants were placed in subtalar neutral position for all testing. The lower leg was strapped down to stabilize and prevent any accessory movement. Subtalar neutral position was identified by the congruency method, in which the foot is neither supinated nor pronated and the examiner palpates the equally prominent positions of the medial and lateral aspects of the talus.³⁶ The participant's positions were different for each direction, as shown in Figure 2. The handheld dynamometer was placed at the superior aspect of the metatarsal heads, depending on the positioning of the foot. Participants were instructed to pull or push against the device as hard as they could for each direction, and the investigator counteracted that force with both hands for 3 seconds per trial. All manual muscle-testing movements and positions were consistent with procedures outlined by Daniels and Worthingham.³⁷ Three consecutive trials were



Figure 1. Participant flow diagram. Abbreviations: RBP, resistance-band protocol; PNF, proprioceptive neuromuscular facilitation; CON, control.

conducted, with a 10-second rest between trials. The maximum force (newtons) was used for analysis as the participant's peak force.

Functional Performance Testing. Functional performance testing included the figure-8 hop and the triplecrossover hop tests. The figure-8 hop test evaluates speed and agility,¹⁰ whereas the triple-crossover hop test for distance is an assessment of power. The figure-8 hop test (intraclass correlation coefficient [ICC] = 0.98) was performed by having participants hop in a 5-m course around the cones in an "8" design on the involved ankle (Figure 3A). The participants were instructed to hop as quickly as possible twice through the course. If the right ankle was being tested, then he or she started on the left side and finished on the right side. If the left ankle was being tested, he or she began on the right and finished on the left. Speed was timed in seconds with an electric timer (Speedtrap 2; Brower Timing Systems, Draper, UT). The fastest time was used for analysis.

The modified triple-crossover hop (ICC = 0.95) for distance was measured in centimeters using a cloth tape measure. Although previous researchers¹¹ found the

original test was unable to detect functional performance deficits in those with CAI, we modified the test to increase lateral stress at the ankle. The modification required the participant to hop in a lateral, medial, lateral pattern. The participant stood on the involved leg and hopped 3 times as far as he or she could in a zigzag fashion over a 15-cm tramline (Figure 3B). If the involved limb was on the right side, then he or she started on the left side of the line and vice versa for the left limb. The distance was measured from the starting point to the location of the great toe on the last hop. The trial with the maximum distance was used for analysis.

Dynamic Balance Testing. Proprioception was dynamically tested using the Y-Balance test (FunctionalMovement.com; Functional Movement Systems, Danville, VA). The Y-Balance test was reliable (composite ICC = 0.89)³⁸ in the measurement of individual reach directions: anterior, posteromedial, and posterolateral. The orientation of the reach direction is relative to the stance limb. Participants stood on the involved limb with the great toe behind the line on the platform located at the center of the 3 diverging lines. Measurements were taken as the participant

| | | | Mean | ± SD | | | | No. | | |
|-------------------------------|--------|------------------|--------------------|-----------------|----------------|----------------------|------------|----------------------|-----------|--------------------------|
| | | | | | | Compliance Sessions, | Limb, | CAI, | Sex, | Previous Rehabilitation? |
| Group | c | Age, y | Height, cm | Weight, kg | IdFAI Score | Median | Right/Left | Unilateral/Bilateral | Men/Women | Yes/No |
| Resistance-band protocol | 13 | 19.7 ± 2.2 | 172.9 ± 12.8 | 69.1 ± 13.5 | 21.7 ± 3.4 | 18 | 9/4 | 2/6 | 5/8 | 2/6 |
| Proprioceptive neuromuscular | | | | | | | | | | |
| facilitation | 13 | 18.9 ± 1.3 | 172.5 ± 5.9 | 72.7 ± 14.6 | 21.9 ± 2.7 | 17 | 11/2 | 5/8 | 5/8 | 8/5 |
| Control | 13 | 20.5 ± 2.1 | 175.2 ± 8.1 | 70.2 ± 11.1 | 20.4 ± 3.7 | N/A | 6/7 | 8/5 | 2/6 | 5/8 |
| Abbreviations: CAI, chronic a | nkle i | instability; IdF | AI, Identification | n of Functiona | l Ankle Instab | ility. | | | | |

Table 1. Participants' Demographic Information

pushed the target plate along the polyvinyl chloride pipe with the opposite leg. The participant returned to the starting position without losing balance after each trial. One to 4 practice trials were performed for each direction, so the participant became comfortable performing the task. For testing, the participant performed 3 consecutive trials in 1 direction. After each trial, the examiner recorded the distance indicated by the target plate and then returned it to the center so the participant could perform the next trial. The maximum distance (centimeters) for each reach direction was recorded. The participant had a 30-second rest before moving on to the next direction. Reach distances were normalized to the participant's leg length, which was measured in centimeters from the anterior-superior iliac spine to the distal tip of the medial malleolus. The composite score (percentage) was calculated by taking the average of the 3 maximal reaches divided by the participant's limb length, multiplied by 100. That value was used for statistical analysis.

Perceived Ankle Instability. Perceived ankle instability was measured using the visual analog scale (VAS). Participants were asked to rate their overall perceived ankle instability at that moment by marking a dash across a vertical 10-cm line. This process was completed at baseline and then again after the 6-week protocol.

Rehabilitation Procedures

The RBP Group. Sessions for the RBP group occurred 3 times/wk for 6 weeks under the supervision of the researcher (E.A.H.). The procedures were based on a 6week rubber-tubing protocol developed by Docherty et al.¹⁸ However, rubber-resistance bands (Mini-bands; SPRI Products, Inc, Libertyville, IL) were used instead of tubing to maintain consistent positioning of the bands on the foot. Participants sat on the floor with 1 end of the band wrapped around a treatment table and the other end around the metatarsal heads of the involved foot. Exercises were performed in 4 directions: dorsiflexion, plantar flexion, inversion, and eversion. With the participant in a long sitting position and knees fully extended, a bolster was placed at about midgastrocnemius level to allow the full range of motion for dorsiflexion and plantar flexion. Inversion and eversion were performed in a modified, long sitting position; the knee of the involved limb flexed, using the heel as a fulcrum, as the participant moved the foot in and out, respectively. The band was stretched to an additional 70% of its resting length to allow for consistent resistance tension among participants.^{18,21} Participants were instructed to use only the involved ankle joint and to maintain a consistent pace of approximately 3 to 5 seconds per repetition throughout the full range of motion. Each week, participants progressed by increasing the number of sets, band resistance, or both (Table 2).¹⁸ Participants completed all 4 directions before moving on to the next set.

The PNF Group. The PNF strength group met at the same intervals as the RBP group. This group used the slow-reversal PNF technique, which involves a concentric contraction of the antagonist muscle, followed by a concentric contraction of the agonist muscle.²⁹ The participants were in a long sitting position with the ankle extended over the end of the table. The lower leg was stabilized at the knee by the investigator to prevent any movements at the knee and hip. The participants were



Figure 2. Positions for isometric testing using the handheld dynamometer (Manual Muscle Testing System; Lafayette Instruments Co, Lafayette, IN). A, Dorsiflexion, B, plantar flexion, C, inversion, and D, eversion.

instructed on how to perform 2-diagonal patterns. The D1 pattern consisted of 2 phases: dorsiflexion-inversion (up and in) and plantar flexion-eversion (down and out). The D2 pattern consisted of 2 phases: dorsiflexion-eversion (up and out) and plantar flexion-inversion (down and in). Manual resistance was applied by the investigator (E.A.H.) to the distal aspect of the foot at the metatarsal heads. Participants were told to provide maximal effort for each repetition. Maximal counteracting resistance during each phase of the diagonal pattern was applied throughout the entire range of motion of the isotonic contraction at a moderate speed, taking approximately 3 to 5 seconds to complete. At the end of the range, the investigator changed hand position to complete the other phase of the diagonal pattern. There was a 60-second rest between sets but no rest between repetitions. The protocol progression is shown in Table 2.

The CON Group. Members of the control group avoided any new strength or rehabilitative exercises for their ankles during the 6 weeks between the pretest and posttest procedures. They were allowed to participate in regular activities.

Statistical Analysis

For strength, dynamic balance, and functional performance, we used the maximum of 3 test trials for statistical analysis. Two multivariate, repeated-measures analyses of variance were conducted: 1 for the isometric strength measures (dorsiflexion, plantar flexion, inversion, and eversion) and another for the functional performance (figure-8 hop and triple-crossover hop), dynamic balance, and perceived ankle instability variables. If a finding was significant, follow-up univariate analyses were conducted on each dependent variable individually. The univariate analyses included one within-subject factor (time at 2 levels: pretest, posttest) and one among-subjects factor (group at 3 levels: RBP, PNF, CON). Finally, a Tukey post hoc test was used to identify any specific differences. The a priori α level was set at P < .05.

We also calculated minimum detectable change for each dependent variable based on data collected from the control



Figure 3. A, Figure-8 hop test for time is a single-legged hop twice around the course. B, Triple-crossover hop is a distance test. Both A and B show testing of the right foot.

group, which allowed us to determine whether any changes in the rehabilitation groups were greater than the error that can be expected with that measure. Additionally, effect sizes were calculated using the bias-corrected Hedges gwith the corresponding 95% confidence intervals.³⁹ Effect sizes were interpreted as *weak* (≤ 0.39), *moderate* (0.40– 0.69), or *strong* (≥ 0.70).⁴⁰

RESULTS

Means, standard deviations, and minimum detectable change scores for all dependent variables are shown in Table 3. Effect sizes and 95% confidence intervals for the dependent variables are displayed in Table 4.

Strength Outcomes

We observed a significant time-by-group interaction (Wilks $\lambda = 0.69$, $F_{2,36} = 7.96$, P = .01, $\eta^2 = 0.31$, power = 0.94). In the following paragraphs, we report the findings of the univariate analyses, followed by a Tukey post hoc test for any significant differences.

Plantar-flexion and dorsiflexion strength resulted in a significant time-by-group interaction (P = .04). In both directions, only the RBP group improved after the 6-week strength protocol (P < .05). However, results in the plantar-flexion direction should be interpreted with caution. In the RBP group, the mean difference between the pretest and posttest was smaller than the calculated minimum detectable change (40.1 versus 51.5 N, respectively), and the resultant effect size was weak (0.3). Neither the PNF nor CON group improved from pretest to posttest in either the plantar-flexion or dorsiflexion directions (P > .05).

Inversion and eversion strength also resulted in a significant interaction (P = .01). Both the RBP and the PNF groups improved after the intervention (P < .05). The CON group did not differ between pretest and posttest (P > .05).

 Table 2.
 Resistance-Band and Proprioceptive Neuromuscular

 Facilitation Rehabilitation Protocols

| | Resistance | Band | Proprioceptive Neuromuscular Facilitation |
|------|-------------------------|------------------------|---|
| Week | Resistance | $Sets\timesRepetition$ | Sets \times Repetition |
| 1 | Heavy (light blue) | 3 	imes 10 | 2 	imes 10 |
| 2 | Heavy (light blue) | 4 	imes 10 | 2 	imes 15 |
| 3 | Super heavy (dark blue) | 3	imes 10 | 3 	imes 10 |
| 4 | Super heavy (dark blue) | 4 	imes 10 | 3	imes15 |
| 5 | Ultra heavy (purple) | 3	imes 10 | 4 	imes 10 |
| 6 | Ultra heavy (purple) | 4 	imes 10 | 4	imes15 |

Functional Outcomes

A significant time-by-group interaction for the functiondependent variables was noted (Wilks $\lambda = 0.82$, $F_{2,36} = 3.89$, P = .03, $\eta^2 = 0.18$, power = 0.67). In the following paragraphs, we report the findings of the univariate analyses.

For the figure-8 hop test, we identified a significant timeby-group interaction ($F_{2,36} = 3.64$, P = .04). The post hoc test showed both the RBP and PNF groups improved from pretest to posttest (P < .05), whereas the control group did not improve (P > .05). Despite achieving statistical significance, the pretest to posttest effect size for both RBP and PNF groups was weak to moderate with the 95% confidence intervals encompassing 0. Therefore, the clinical meaningfulness of the improvement was minimal. The triple-crossover hop test also identified a significant time-by-group interaction ($F_{2,36} = 3.58$, P = .04). However, the Tukey post hoc test indicated that none of the groups improved from pretest to posttest (P > .05). The composite Y-Balance test did not demonstrate a significant time-bygroup interaction ($F_{2,36} = 2.71, P = .08$). None of the groups improved from pretest to posttest. Finally, for the VAS, we found a significant time-by-group interaction ($F_{2,36} = 5.12$, P = .01). The RBP and PNF groups improved from pretest to posttest (P < .05). No difference was identified in the CON group (P > .05).

DISCUSSION

The primary finding of our study was that both strengthtraining protocols were effective in improving strength and perceived ankle instability. Interestingly, even with these strength gains and the patients' reports of greater stability in the ankle, improvements in functional performance and dynamic balance were limited.

Isometric Strength

Isometric ankle strength increased after both rehabilitation protocols—the RBP and PNF. Previous authors^{18,24,25} who evaluated strength-training protocols observed strength improvement, except for 1 group²¹ that reported no improvement. Each study used a slightly different protocol, such as the resistance-tubing progressive training protocol,^{18,21,25} the multiaxial ankle exerciser,²⁵ or the isokinetic dynamometer,²⁴ but significant improvement in ankle strength was obtained with all techniques if adequate resistance was applied. We slightly adapted the resistancetubing progressive training protocol developed by Docherty

| | Resistance Band | | Proprioceptive Neuromuscular Facilitation | | Control | | Minimum Detectable | |
|------------------------|---------------------|---------------------------|--|-----------------------|------------------|------------------|---------------------|--|
| Test | Pretest | Posttest | Pretest | Posttest | Pretest | Posttest | Change ^a | |
| Isometric strength, N | | | | | | | | |
| Dorsiflexion | 215.8 ± 62.0 | $265.8\pm37.4^{\text{b}}$ | 237.0 ± 62.3 | 259.3 ± 50.8 | 261.5 ± 60.3 | $255.4~\pm~67.0$ | 35.5 | |
| Plantar flexion | 265.4 ± 50.0 | 305.5 ± 36.3^{b} | 282.8 ± 44.6 | 299.7 ± 34.0 | 274.6 ± 45.2 | 267.2 ± 50.4 | 51.5 | |
| Inversion | 157.2 ± 48.2 | 202.9 ± 37.4^{b} | 166.0 ± 51.0 | 212.6 ± 44.4^{b} | 187.5 ± 57.4 | 188.2 ± 47.2 | 24.3 | |
| Eversion | 147.8 ± 41.2 | 189.6 ± 26.9^{b} | 141.2 ± 34.0 | 183.9 ± 27.9^{b} | 175.5 ± 41.7 | 176.0 ± 43.0 | 21.8 | |
| Functional performanc | е | | | | | | | |
| Figure-8 hop, s | 10.7 ± 1.7 | 10.1 ± 1.2^{b} | 11.2 ± 1.8 | $10.2~\pm~1.5^{ m b}$ | 10.4 ± 1.9 | 10.3 ± 1.9 | 0.8 | |
| Triple-crossover hop |), | | | | | | | |
| cm | 455.5 ± 96.4 | 480.4 ± 84.6 | 451.1 ± 108 | 479.2 ± 95.1 | 519.7 ± 150 | 509.6 ± 120 | 45.6 | |
| Y-Balance (% normaliz | zed to limb length) | 1 | | | | | | |
| Composite | 97.4 ± 7.2 | 102.0 ± 7.2 | 96.9 ± 7.0 | 101.5 ± 7.2 | $99.6~\pm~7.7$ | 99.9 ± 4.6 | 7.7 | |
| Perceived ankle instab | oility | | | | | | | |
| Visual analog scale, | | | | | | | | |
| cm | 4.2 ± 1.9 | 2.3 ± 1.2^{b} | 4.3 ± 1.5 | 2.8 ± 0.9^{b} | 4.8 ± 2.2 | 4.9 ± 2.2 | 1.6 | |

^a Calculated from data for the control group.

^b Statistically significant (P < .05) improvement from pretest to posttest.

et al¹⁸ using resistance bands instead of resistance tubing to avoid the tubing rolling off the foot and affecting the results. Our findings are consistent with those of previous resistance-tubing progressive training protocol studies in demonstrating improvements in dorsiflexion,¹⁸ inversion,²⁵ and eversion.^{18,25}

Previous researchers³³ also concluded that lower extremity PNF strength patterns were effective at increasing hamstrings strength at the knee; however, no outcomes were reported at the ankle. In the present study, the PNF group improved inversion strength by 28% and eversion strength by 31%. In the dorsiflexion and plantar-flexion directions, no meaningful strength improvements (9% and 6%, respectively) were identified. We hypothesize that the investigator could not provide adequate manual resistance in those directions during the PNF pattern to elicit a change.

Therefore, when the goal of the rehabilitation protocol is to improve plantar-flexion strength, greater resistance is necessary than was provided in either of these protocols. Clinicians should consider using closed kinetic chain exercises or open kinetic chain exercises that provide greater resistance to improve plantar flexion.

Dynamic Balance and Functional Performance

Neither rehabilitation protocol had a clinical effect on dynamic balance or functional performance as measured by the figure-8 hop test, the triple-crossover hop test, and the Y-Balance test, which was surprising. Based on previous research, 13, 18, 25, 32, 33 we hypothesized that with improvements in strength, improvements in balance and functional performance would follow. However, that was not the case in our study. We believe our findings conflict with those of previous investigators for several reasons. First, our measures were more dynamic and required coordination of the entire lower extremity. Earlier authors focused their testing more specifically at the ankle, including simple measures such as ankle-joint position sense¹⁸ and singlelegged balance.²⁴ Subsequently, both their training protocols and testing focused solely on the ankle. Our training protocols were localized to the ankle, but our testing included more advanced, dynamic tasks, which required coordination of the hip, knee, and ankle. Second, the lack of improvement in Y-Balance scores with either rehabilitation protocol conflicts with the findings of an earlier study that used a multicomponent rehabilitation protocol²⁰ that

| Table 4 | Effect Sizes | (95% | Confidence | Intervals) | b١ | / Group | and | Test |
|---------|--------------|-------|------------|-------------|------|---------|-----|------|
| | LITECT SIZES | (35/0 | Connuence | initer vars | - NJ | aroup | anu | reat |

| Group | | | | | | | |
|-----------------------------|---|---|--|--|--|--|--|
| Resistance Band | Proprioceptive Neuromuscular Facilitation | Control | | | | | |
| | | | | | | | |
| 0.9 ^b (0.1, 1.7) | 0.4 (-0.4, 1.1) | -0.1 (-0.9, 0.7) | | | | | |
| 0.3 (0.03, 1.6) | 0.4 (-0.4, 1.2) | -0.2 (-0.9, 0.6) | | | | | |
| 1.0 ^b (0.1, 1.8) | 0.9 ^b (0.1, 1.7) | -0.1 (-0.8, 0.8) | | | | | |
| 1.1 ^b (0.3, 1.9) | 1.2 ^b (0.4, 2.1) | 0.01 (-0.8, 0.8) | | | | | |
| (· · ·) | | · · · · · | | | | | |
| 0.4 (-0.4, 1.1) | 0.5 (-0.2, 1.3) | 0.1 (-0.7, 0.8) | | | | | |
| 0.3 (-0.5, 1.0) | 0.3 (-0.5, 1.0) | -0.1 (-0.8, 0.7) | | | | | |
| | | (· · ·) | | | | | |
| 0.6 (-0.2, 1.4) | 0.6 (-0.2, 1.4) | 0.1 (-0.7, 0.8) | | | | | |
| · · · · · | | | | | | | |
| 1.1 ^b (0.3, 1.9) | 1.1 ^b (0.3, 1.9) | -0.1 (-0.8, 0.7) | | | | | |
| | Resistance Band 0.9 ^b (0.1, 1.7) 0.3 (0.03, 1.6) 1.0 ^b (0.1, 1.8) 1.1 ^b (0.3, 1.9) 0.4 (-0.4, 1.1) 0.3 (-0.5, 1.0) 0.6 (-0.2, 1.4) 1.1 ^b (0.3, 1.9) | $\begin{tabular}{ c c c c c } \hline Group \\\hline \hline Resistance Band & Proprioceptive Neuromuscular Facilitation \\\hline 0.9^b (0.1, 1.7) & 0.4 (-0.4, 1.1) \\ 0.3 (0.03, 1.6) & 0.4 (-0.4, 1.2) \\ 1.0^b (0.1, 1.8) & 0.9^b (0.1, 1.7) \\ 1.1^b (0.3, 1.9) & 1.2^b (0.4, 2.1) \\\hline 0.4 (-0.4, 1.1) & 0.5 (-0.2, 1.3) \\ 0.3 (-0.5, 1.0) & 0.3 (-0.5, 1.0) \\\hline 0.6 (-0.2, 1.4) & 0.6 (-0.2, 1.4) \\\hline 1.1^b (0.3, 1.9) & 1.1^b (0.3, 1.9) \\\hline \end{tabular}$ | | | | | |

^a Effect size was calculated using Hedges *g*.

^b Clinical significance from pretest to posttest.

42

resulted in significant improvements in Star Excursion Balance Test scores. However, it is likely that the improvements seen in that study stemmed from the balance exercises included in that protocol, rather than the strengthening exercises. Previous studies identified functional performance improvements after isokinetic strength training²⁴ and PNF strength training.³² However, when evaluating those data further, it appears that the clinical significance of the results might be questioned because of weak to moderate effect sizes and 95% confidence intervals We conclude that strength-training protocols alone did addressed. not effectively target the neuromuscular systems in the entire lower extremity. To generate improvement in

dynamic tasks, such as the triple-crossover hop and the Y-Balance tests, rehabilitation strategies may require greater emphasis on knee and hip neuromuscular control. Therefore, we suggest that a strengthening program focusing on the entire lower extremity, not just the ankle, may improve performance on these dynamic balance and functional tasks.

Perceived Ankle Instability

crossing 0.

It is important to evaluate not only physical measures after a rehabilitation protocol but also the patient's perceived improvements. Using the VAS, patients in both rehabilitation protocols noted improvements in perceived ankle instability during the 6 weeks. The RBP and PNF groups had 1.8-cm and 1.6-cm improvements, respectively. which we consider a clinically meaningful change. Previous researchers⁴¹ reported that a 1.3-cm change in pain severity using a VAS was clinically significant, corresponding with our study, which identified a minimum detectable change of 1.6 cm and a strong effect size for each treatment group (1.1). The use of a VAS provides important patient-reported outcomes to clinicians, which can be viewed as evidence of the effectiveness of the rehabilitation protocols. In this study, we found that both rehabilitation protocols gave participants an improved sense of ankle stability.

Future Research

Future investigators should evaluate the effectiveness between local (single-joint) and global (multijoint) PNF patterns by focusing not only on the ankle but on the entire lower extremity. That would determine whether more deficits are improved while saving the clinician time and energy. Long-term follow-up testing would identify the lasting effects of each rehabilitation protocol. Anecdotally, participants stated that, overall, their ankles "felt better," and they noticed fewer episodes of "giving way." Strengthtraining and multicomponent rehabilitation studies should continue to use clinically applicable dependent variables to improve rehabilitation protocols and better serve the patient and clinician. Calculating effect sizes in the statistical analyses will help determine whether rehabilitation protocols are clinically relevant.

CONCLUSIONS

Chronic ankle instability is a multifaceted condition; therefore, a multifaceted rehabilitation approach is necessary to attain the desired functional outcomes. In our study, both the RBP and PNF groups improved in isometric strength and perceived ankle instability. Interestingly, participants in both rehabilitation groups reported their ankles felt more stable after rehabilitation. From the results of this study, it appears that additional exercises are required to improve dynamic balance and functional performance in patients with ankle instability.

Although the RBP is common in ankle rehabilitation, the PNF protocol should be identified as an effective treatment as well for improving strength in individuals with CAI. Of course, as with any rehabilitation protocol, those decisions should be based on the specific goals and objectives being

REFERENCES

- 1. Garrick JG. The frequency of injury, mechanism of injury, and epidemiology of ankle sprains. Am J Sports Med. 1977;5(6):241-242.
- 2. Yeung MS, Chan KM, So CH, Yuan WY. An epidemiological survey on ankle sprain. Br J Sports Med. 1994;28(2):112-116.
- 3. Hertel J. Functional instability following lateral ankle sprain. Sports Med. 2000;29(5):361-371.
- 4. Freeman MA. Instability of the foot after injuries to the lateral ligament of the ankle. J Bone Joint Surg Br. 1965;47(4):669-677.
- 5. Freeman MA, Dean MR, Hanham IW. The etiology and prevention of functional instability of the foot. J Bone Joint Surg Br. 1965;47(4): 678-685.
- 6. Verhagen RA, de Keizer G, van Dijk CN. Long-term follow-up of inversion trauma of the ankle. Arch Orthop Trauma Surg. 1995; 114(2):92-96.
- 7. Karlsson J, Lansinger O. Lateral instability of the ankle joint. Clin Orthop Relat Res. 1992;276:253-261.
- 8. Delahunt E, Coughlan GF, Caulfield B, Nightingale EJ, Lin CW, Hiller CE. Inclusion criteria when investigating insufficiencies in chronic ankle instability. Med Sci Sports Exerc. 2010;42(11):2106-2121.
- 9. Demeritt KM, Shultz SJ, Docherty CL, Gansneder BM, Perrin DH. Chronic ankle instability does not affect lower extremity functional performance. J Athl Train. 2002;37(4):507-511.
- 10. Docherty CL, Arnold BL, Gansneder BM, Hurwitz S, Gieck J. Functional-performance deficits in volunteers with functional ankle instability. J Athl Train. 2005;40(1):30-34.
- 11. Munn J, Beard DJ, Refshauge KM, Lee RY. Do functionalperformance tests detect impairment in subjects with ankle instability? J Sport Rehabil. 2002;11(1):40-50.
- 12. Caffrey E, Docherty CL, Schrader J, Klossner J. The ability of 4 single-limb hopping tests to detect functional performance deficits in individuals with functional ankle instability. J Orthop Sports Phys Ther. 2009;39(11):799-806.
- 13. Sekir U, Yildiz Y, Hazneci B, Ors F, Saka T, Aydin T. Reliability of a functional test battery evaluating functionality, proprioception, and strength in recreational athletes with functional ankle instability. Eur J Phys Rehabil Med. 2008;44(4):407-415.
- 14. Lentell G, Baas B, Lopez D, McGuire L, Sarrels M, Snyder P. The contribution of proprioceptive deficits, muscle function, and anatomic laxity to functional instability of the ankle. J Orthop Sports Phys Ther. 1995;21(4):206-215.
- 15. Tropp H. Pronator muscle weakness in functional instability of the ankle joint. Int J Sports Med. 1986;7(5):291-294.
- 16. Willems T, Witvrouw E, Verstuyft J, Vaes P, Clercq D. Proprioception and muscle strength in subjects with a history of ankle sprains and chronic instability. J Athl Train. 2002;37(4):487-493
- 17. Arnold BL, Linens SW, de la Motte SJ, Ross SE, Concentric evertor strength differences and functional ankle instability: a meta-analysis. J Athl Train. 2009;44(6):653-662.

- Docherty CL, Moore JH, Arnold BL. Effects of strength training on strength development and joint position sense in functionally unstable ankles. *J Athl Train*. 1998;33(4):310–314.
- Eils E, Rosenbaum D. A multi-station proprioceptive exercise program in patients with ankle instability. *Med Sci Sports Exerc*. 2001;33(12):1991–1998.
- Hale SA, Hertel J, Olmsted-Kramer LC. The effect of a 4-week comprehensive rehabilitation program on postural control and lower extremity function in individuals with chronic ankle instability. J Orthop Sports Phys Ther. 2007;37(6):303–311.
- 21. Kaminski TW, Buckley BD, Powers ME, Hubbard TJ, Ortiz C. Effect of strength and proprioception training on eversion to inversion strength ratios in subjects with unilateral functional ankle instability. *Br J Sports Med.* 2003;37(5):410–415.
- 22. Mattacola CG, Lloyd JW. Effects of a 6-week strength and proprioception training program on measures of dynamic balance: a single-case design. *J Athl Train*. 1997;32(2):127–135.
- Powers ME, Buckley BD, Kaminski TW, Hubbard TJ, Ortiz C. Six weeks of strength and proprioception training does not affect muscle fatigue and static balance in functional ankle instability. J Sport Rehabil. 2004;13:201–227.
- Sekir U, Yildiz Y, Hazneci B, Ors F, Aydin T. Effect of isokinetic training on strength, functionality and proprioception in athletes with functional ankle instability. *Knee Surg Sports Traumatol Arthrosc*. 2007;15(5):654–664.
- Smith BI, Docherty CL, Simon J, Klossner J, Schrader J. Ankle strength and force sense after a progressive, 6-week strength-training program in people with functional ankle instability. *J Athl Train*. 2012;47(3):282–288.
- Moritani T, deVries HA. Neural factors versus hypertrophy in the time course of muscle strength gain. Am J Phys Med. 1979;58(3): 115–130.
- Bernier JN, Perrin DH. Effect of coordination training on proprioception of the functionally unstable ankle. J Orthop Sports Phys Ther. 1998;27(4):264–275.
- O'Neill M. The Effects of Fatigue and Gender on The Star Excursion Balance Test in High School Athletes [master's thesis]. Newark: University of Delaware; 2011.

- 29. Knott M, Voss DE. *Proprioceptive Neuromuscular Facilitation: Patterns and Techniques.* 2nd ed. New York, NY: Hoeber Medical Division, Harper & Row; 1968.
- Adler SA, Beckers D, Buck M. PNF in Practice: An Illustrated Guide. 2nd ed. New York, NY: Springer; 2000.
- Surburg PR, Schrader JW. Proprioceptive neuromuscular facilitation techniques in sports medicine: a reassessment. J Athl Train. 1997; 32(1):34–39.
- Kofotolis N, Vrabas IS, Kalogeropoulou E, Sambanis M, Papadopoulos C, Kalogeropoulos I. Proprioceptive neuromuscular facilitation versus isokinetic training for strength, endurance, and jumping performance. *J Hum Mov Stud.* 2002;42(2):155–165.
- Nelson AG, Chambers RS, McGown CM, Penrose KW. Proprioceptive neuromuscular facilitation versus weight training for enhancement of muscular strength and athletic performance. *J Orthop Sports Phys Ther.* 1986;7(5):250–253.
- Simon J, Donahue M, Docherty C. Development of the identification of Functional Ankle Instability (IdFAI). *Foot Ankle Int.* 2012;33(9): 755–763.
- 35. Holme E, Magnusson SP, Becher K, Bieler T, Aagaard P, Kjaer M. The effect of supervised rehabilitation on strength, postural sway, position sense and re-injury risk after acute ankle ligament sprain. *Scand J Med Sci Sports.* 1999;9(2):104–109.
- 36. Root ML, Orien WP, Weed JH. *Normal and Abnormal Functions of the Foot*. Los Angeles, CA: Clinical Biomechanics Corp; 1977.
- 37. Daniels L, Worthingham C. *Muscle Testing: Techniques of Manual Examination.* 5th ed. Philadelphia, PA: Saunders; 1986.
- Plisky PJ, Gorman PP, Butler RJ, Kiesel KB, Underwood FB, Elkins B. The reliability of an instrumented device for measuring components of the Star Excursion Balance Test. N Am J Sports Phys Ther. 2009;4(2):92–99.
- Borenstein M, Hedges LV, Higgens JP, Rothstein H. Introduction to Meta-Analysis. Chichester, UK: John Wiley & Sons; 2009.
- 40. Cohen J. *Statistical Power Analysis for the Behavioral Sciences*. 2nd ed. Hillsdale, NJ: Lawrence Erlbaum Associates; 1988.
- Todd KH, Funk KG, Funk JP, Bonacci R. Clinical significance of reported changes in pain severity. *Ann Emerg Med.* 1996;27(4):485– 489.

Address correspondence to Emily A. Hall, MS, LAT, ATC, School of Public Health, Indiana University, C200, 1025 East 7th Street, Bloomington, IN 47405. Address e-mail to emahall@indiana.edu.