Title Page

Head Impact Exposure in Hawaiian High School Football: Influence of Adherence Rates

on a Helmetless Tackling and Blocking Training Intervention

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2 Rates on a Helmetless Tackling and Blocking Training Intervention

- 3 Abstract
- 4 Context High school football remains a popular, physically demanding sport despite the
- 5 known risks for acute brain and neck injury. Impacts to the head also raise concerns
- 6 about their cumulative effects and long-term health consequences.
- 7 **Objective** To examine the effectiveness of a helmetless tackling training program to
- 8 reduce head impact exposure in football participants.
- 9 Design A three-year, quasi-experimental, prospective cohort (clinicaltrials.gov
- 10 #NCTXXX) study.
- 11 Setting Honolulu (XXX, XXX) area public and private secondary schools with varsity
- 12 and junior varsity football.
- 13 Patients or Other Participants Football participants (n=496) ages 14 to 18 years old.
- 14 Intervention(s) Participants wore new football helmets furnished with head impact
- 15 sensor technology. Teams employed a season-long helmetless tackling and blocking
- 16 intervention in Years 2 and 3 consisting of a 3-phase, systematic progression of 10
- 17 instructional drills.
- 18 Main Outcome Measure(s) Head impact frequency per athlete exposure (ImpAE),
- 19 location, and impact magnitude per participant intervention adherence levels (60% and
- 20 80%).
- 21 **Results** An overall regression analysis revealed a significant negative association
- between ImpAE and adherence (p=0.003, beta=-1.21, SE=0.41). In year 3, a
- 23 longitudinal data analysis of weekly ImpAE data resulted in an overall difference

- between the adherent and non-adherent groups (p=0.040 at 80%; p=0.004 at 60%),
- 25 mainly due to decreases in top and side impacts. Mean cumulative impact burden for
- the adherent group (n=131: 2,105.84g \pm 219.76,) was significantly (p=0.020) less than
- the non-adherent group (n=90: 3,158.25g \pm 434.80) at the 60% adherence level.
- 28 **Conclusions** Participants adhering to the intervention on at least a 60% level
- 29 experienced a 34% to 37% significant reduction in the number of head impacts (per
- 30 exposure) through the season. These results provide additional evidence that a
- 31 helmetless tackling and blocking training intervention (utilizing the HuTT[®] program)
- 32 reduces head impact exposure in high school football players. Adherence to an
- 33 intervention is crucial for achieving intended outcomes.
- 34 Keywords: athletes, brain, neck injuries, prospective studies, outcome assessment
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- Participants adhering to the intervention on at least a 60% level experienced a 34%
 to 37% significant reduction in head impacts by the end of the season compared to
- 40 those who did not adhere to the intervention at least 60% of the time.
- Football players who engaged in helmetless tackling training at a frequency of 2
- 42 times per week (24 sessions over 12-week season) were likely to benefit from fewer
- 43 head impacts and the associated reduction in impact force burden (i.e., g's:
- 44 gravitational acceleration).
- Adherence to any type of intervention, whether it be exercise, behavioral, or medical,
- 46 is crucial for achieving intended outcomes.

47 Introduction

High school football remains highly popular, with approximately one million 48 participants across the USA in all versions of the sport (i.e., 11, 9, 8, 6 player).¹ 49 50 American football is generally considered a collision sport, with a high incidence of injuries, including serious or catastrophic head or neck injuries.² Additionally, football 51 participants can sustain hundreds of head impacts in a season .^{3–6} The cumulative 52 effects (i.e., burden) of these head impacts, whether having resulted in a concussion or 53 not, are thought to be associated with long term health consequences $^{7-9}$, although not 54 all research findings support this conclusion 3,10 . 55 To mitigate negative outcomes, a multitude of strategies have been developed 56 and implemented with the aim of decreasing head impact exposure in the sport of 57 football. Whether through education, issuing penalties or fines, or intervention and 58 training techniques, these efforts typically focus on discouraging the behavior of 59 initiating contact with the head when a participant executes a tackle or block skill.¹¹ 60 Training football players in techniques for avoiding head impacts have been studied,¹²⁻ 61 ¹⁶ with some findings suggestive of effectiveness, although study quality (i.e., level of 62 evidence) is generally low.¹⁷ Accordingly, The National Athletic Trainers' Association 63 recommend to "engage all stakeholders in the generation of high-level scientific 64 research to test and validate strategies, techniques, or technologies proposed to 65 support the reduction of head-impact exposure in football".¹¹ Given the potential for 66 grave health consequences associated with head-first contact behavior, rigorous, high-67 level research is critical in understanding how to teach, train, and achieve mastery of 68 69 contact skills, namely blocking and tackling, that reduces the risk for head impacts.

70 One high-level study conducted at the high school level involved a two-year 71 randomized controlled trial testing the effectiveness of a helmetless-tackling behavioral intervention.¹⁵ Research participants from four high school football programs underwent 72 73 a season-long tackling training program performed without wearing helmets or shoulder pads during practice sessions. The investigators had coaches participate in a pre-74 75 season clinic which provided on-field demonstrations of the prescribed helmetless drills 76 complemented with a hard copy manual and on-line video repository for them to use throughout the season. The authors reported a decrease in head impact frequency 77 during the mid-point of the season in those randomized to the helmetless tackling 78 training intervention. The same helmetless tackling training behavioral intervention was 79 conducted in a smaller sample at the collegiate level and also reported a decrease in 80 head impacts in the treatment group¹⁴. The underlying theory supporting the helmetless 81 training intervention effectiveness¹⁴ lies in the concept of risk compensation^{18,19}; which 82 is described as a change in behavior or an unintended shift in injury pattern derived 83 84 from a new protective measure. In the case of football, this phenomenon is illustrated by the paradox of wearing a helmet, which allows for head-initiated contact because of the 85 protection it affords, as in providing a false sense of security. The helmet is associated 86 with the rise of catastrophic neck injuries due to spear-tackling behavior seen in football 87 with the advent of the hard, outer-shell in the late 1950's.²⁰ While the introduction of 88 rules in the 1970s reduced the incidence of these injuries²⁰, the rules themselves do not 89 directly train or correct incorrect behavior proactively, they only provide a disincentive to 90 exhibiting the behavior. To our knowledge, no other prospective research rooted in a 91

helmetless-training concept exists, particularly as being deployed across an entire team
versus being randomized to a smaller group within a team.

While research results investigating the effectiveness of tackling training 94 95 interventions for reducing head impacts or injury in football are promising, the dosage (i.e., frequency, duration, intensity) of the intervention prescription, and more importantly 96 97 participant adherence, to these interventions, is poorly described, if at all. In other words, to more fully understand whether a desired outcome is truly indicative of the 98 actual response to the medical intervention, it is essential to know the rate to which 99 participants actually completed the treatment. For example, the American Medical 100 Association defines adherence as completion of at least 80% of a prescribed 101 intervention.²² A lower rate of adherence below a threshold would reduce one's ability to 102 conclude the results, or intended benefit, was indeed due to the treatment. Adherence 103 rates are not commonly reported in behavioral or exercise intervention research. In 104 exercise intervention studies that have reported it, a 70% threshold has often been 105 used.²³ In the helmetless tackling training research cited above^{14,15}, adherence was not 106 reported, yet a minimum 60% attendance to the intervention (prescribed at a rate of one 107 or two times per week) was used as an inclusion criteria in analyzing the results. Other 108 109 research using techniques to decrease head impacts, or its associated injury, poorly 110 describe the intervention implementation plan (i.e., intention to treat; ITT) or did not 111 report the rate to which participants adhered to the planned intervention itself.

Early research in head impact biomechanics in football initially measured and reported on various descriptive iterations of head impact frequency, whether as an overall season average^{24,25} or other measure (eg, median, quartile ranges). Others have 115 reported the accumulated burden by including measures of linear and rotational 116 acceleration as a way of better appreciating the potential amount of energy delivered to, and thus succumbed, by the brain^{8,26} over a period of time, such as a season or 117 career.^{6,10,27} For example, Broglio et al ⁶ reported high school athletes to have 118 accumulated more than 16,000g of linear acceleration in a single season. More 119 recently, Zuidema et al⁸ reported physiological impairments in oculomotor function and 120 121 elevations in blood biomarker levels with astrocyte activation and neuronal injury being associated with impact burden through a season. Thus, exploring the effectiveness of a 122 behavioral intervention for football tackling and blocking should include not only whether 123 the number of head impacts are lowered, but to what extent this also mitigates the 124 accumulating force burden over time. 125

Therefore, the purpose of this research was to study the effectiveness of a 126 helmetless tackling training program for reducing head impact exposure in high school 127 football participants. Head impact exposure was expressed both in terms of the 128 129 frequency of head impacts (controlled by attendance), the impact location, as well as the accumulated burden (gravitational acceleration) of these impacts at the end of the 130 season. Additionally, to more closely associate the intervention to the desired outcome 131 of decreased head impact exposure, the data were analyzed according to the rate of 132 intervention adherence by participants (i.e., intervention dose) to the intervention plan 133 134 (ITT).

135 Methods

136 This study involved a quasi-experimental, prospective cohort design. Methods for 137 the study were approved by the University's IRB and registered as a clinical trial 138 (clinicaltrials.gov #NCTXXX). Over a three-year period, following approval by district 139 and school administrators, participants were recruited from four high school football programs in the XXX, XXX area. Year 1 (2019) served as a baseline season for 140 141 participant and coach familiarization and piloting data collection, whereas Years 2 and 3 (2021 and 2022, respectively) were planned for the implementation of the intervention. 142 (Note: COVID-19 pandemic cancelled the 2020 XXX football season). Programs were 143 comprised of two public (XXX Interscholastic Association) and two private 144 (Interscholastic League of XXX) varsity (4) and junior varsity (2) teams representing 145 school grades 9-12. The nature of the research was explained to participants and legal 146 guardians in group sessions and 1:1 conversations. Subsequently, IRB approved 147 written assent and consent forms were obtained from all participants and legal 148 149 guardians, respectively. Each participant was sized and fitted by research personnel for a new Riddell, 150 Inc. (Elyria, OH) Speed Flex helmet as per the manufacturer's fitting criteria. Helmets 151 were furnished with a Riddel InSite Impact Response System. The InSite System, 152 which has been demonstrated as strongly correlated with Hybrid III acceleration data²⁸ 153 and used previously in related studies,^{27,29} records impact frequency, magnitude (low 154 10-19 g's; medium 20-28 g's, high 29-43 g's, alert 44-63 g's, and alert >63 g's), and 155 156 location (front, top, back, right and left sides). Researchers monitored data capture, storage, and export to the Riddell InSite Training Tool, a password secure proprietary 157 cloud-based system. 158

A helmetless tackling and blocking behavioral intervention (HuTT[®]; University of New Hampshire, Durham, NH) was deployed in Years 2 and 3. The HuTT[®] program 161 consists of a 3-phase (i.e., Static, Dynamic, Functional), systematic progression of ten 162 instructional drills performed without helmets and shoulder pads and is intended to 163 develop and reinforce motor behaviors that explicitly remove the head as a point-of-164 contact. Intervention sessions were approximately 10 minutes in duration and consisted of a prescribed set of two drills per session. Participants executed techniques against 165 166 tackling bags or a padded shield held by teammates, alternating contact from the right and left directions. Based on prior research which showed a treatment effect¹⁵, the 167 intervention was assigned at a frequency of four sessions per week during the pre-168 season and two sessions per week during the competition season. Sessions were held 169 at the beginning or end of practice and monitored by research personnel. 170 The HuTT[®] program was delivered to research participants by the team's 171 respective coaching staff who underwent standardized training before each of the two 172 intervention seasons. At the outset, coaches underwent an on-boarding process 173 consisting of a web-based textual and video formatted standard operating procedure, 174 complemented with protocol videos and knowledge check features (i.e., quizlets; 175 Retrieve Technologies, Manchester, NH). This was followed by virtual conference call 176 workshops with researchers and an experienced coach consultant on a team-by-team 177 basis to answer questions specific to a coaching staff. Coaches were also provided an 178 abbreviated field-side manual in the form of laminated pocket cards for quick reference. 179 In Year 3, the cessation of COVID travel restrictions allowed for an intensive 3-hour on-180 site training of coaches by research personnel and experienced coach consultants 181 (average of six years using the HuTT[®] program). 182

183 Research personnel were present on-site during the season for quality controls 184 and field observations. The upcoming day's drills were reviewed prior to deployment 185 and participant intervention attendance (i.e., adherence) was recorded. Detailed field 186 notes included drill compliance (assigned drill number), drill sequencing, participant 187 repetitions, appropriate use of field-equipment, and removal of helmets and shoulder 188 pads. Intervention adherence was calculated as a ratio of the number of treatments 189 completed versus the number of treatments prescribed (i.e., ITT) according to the original intervention plan (four sessions/week in the pre-season and two sessions/week 190 191 in the regular season).

Daily attendance, in a game or practice session, defined as entry into any 192 training or game when the helmet was worn regardless of duration, were recorded as an 193 194 athlete exposure (AE). Time sequences of athlete exposures was tracked and included start/stop times for the overall session as well as for pre-game, quarters, and half-time 195 of scrimmage and game sessions. Raw data were exported in aggregate and reviewed 196 197 at various intervals throughout the season for purposes of quality control. Before final interpretation, these data were filtered for noise and spurious impacts using athlete 198 exposure time sequences and attendance records. 199

Data were processed in spreadsheet format for corresponding head impact frequency counts, acceleration (g's) levels, and impact location across each week of the season. Dependent variables included: head impacts per athlete exposure (ImpAE; head impact frequency divided by attendance), location of head ImpAE, and head impact burden (the sum of accumulated head impact frequency per impact location and the assigned median gravitational acceleration level). Independent variables included 206 grouping participants according to their adherence rate on two levels (80%, 60%), and207 time (weeks).

208 Sample Size Determination and Statistical Analysis

209 When designing the study, we executed sample size and power calculations for 210 the two-sample t-tests for the mean differences in head impacts per exposure between 211 hypothetical treatment and control groups. With a sample size of 100 (50 in both the treatment and control groups) and a mean difference of 1.2 and the standard error (SE) 212 of 2.0, such test yielded a power of 84%. Power calculations were conducted using 213 214 PROC POWER in SAS (version 9.4). A linear regression was first used to test for associations between ImpAE and 215 adherence to the intervention. This was followed by an analysis of longitudinal data over 216 217 time (week) of ImpAE by linear mixed-effects model with adherence and time effects (fixed effects), accounting for random effect of time within subject. The weekly ImpAE 218 was also evaluated by false discovery rate (FDR) corrected t-tests at each week. 219 220 Finally, an analysis of variance (ANOVA) was used to compare adherent and nonadherent groups (with alpha=0.05, and reported mean \pm standard error), for overall 221 ImpAE, location of ImpAE, and cumulative impact burdens at the conclusion of the 222 study in Year 3. The statistical analysis of data were performed using R software. 223 224 Results 225 The Sample

Based on the original study plan, we set out to conduct our research over three successive football seasons starting in 2019, with the intervention commencing in 2020. The 2019 data was not analyzed or reported here, since it did not involve the

229 intervention. Unfortunately, after Year 1 the COVID-19 pandemic cancelled the 2020 230 season, creating a full year of inactivity between Year 1 and Year 2 (2021). Additionally, 231 before Year 2, one of the teams discontinued participation due to low returning 232 participants, full coaching staff turnover, and practice facility changes preventing adequate storing and maintenance of research equipment. We subsequently recruited 233 234 an additional three teams (2 Varsity, 1 Junior Varsity) from two new schools, one school agreeing to commence with the intervention, the other agreeing to an initial baseline 235 year. Year 2 also involved an 8-week cessation of all public high school in-person 236 activities including sports (August 4th-September 24th), with sporadic daily interruptions 237 238 in the private schools.

Thus, the investigation enrolled a total of 496 unique participants (male=648, 239 female=2 over three years. Of this total, 154 accounting for >1 year participation and 19 240 241 in all three years, resulting in 650 participant-seasons. This sample exceeded our original *a-priori* estimated sample size. Attrition resulted from a combination of factors, 242 243 such as graduation, departing team for personal reasons, and season ending injury. New participant recruitment between years was intended to replace the expected 244 attrition over time. A total of 42 participants and their respective data were excluded due 245 246 to incomplete enrollment paperwork or demonstrated equipment failure. The final analysis involved organizing participants' data into adherent or non-adherent groupings 247 248 on the 60% and 80% adherence threshold levels, resulting in different sample sizes for each category and year the intervention was deployed (Figure 1A-D CONSORT 249 Diagram) 250

251 The Association between Level of Adherence and Impacts

- 252 An overall regression analysis revealed a significant negative association
- between ImpAE and adherence (p=0.003, beta=-1.21, se=0.41), suggesting that the
- more adherent subjects were, the less ImpAE the subjects sustained. From the
- regression analysis of ImpAE on raw adherence, a significant negative association was
- found between ImpAE and adherence during Year 2 (p=0.010, beta= -1.43, se=0.55)
- and again to a greater of significance in Year 3 (p<0.001, beta= -2.26, SE=0.66).
- 258 (Figure 2A-C)
- 259 Effect of the Intervention over Time

When the intervention began in Year 2, ImpAE comparisons between adherent 260 and non-adherent groups were similar in weeks one through week 4 (difference in mean 261 ImpAE of 0.139, p=0.933 at 80%; difference in means of 0.093, p=0.927 at 60%). 262 263 Starting in Week 5, the two public schools' seasons were placed on a COVID pause, while the two private schools continued, albeit with variable interruptions. Thus, this 264 timepoint shows the non-adherent group had significantly less ImpAE than the adherent 265 266 group at the 60% level (difference in mean ImpAE of -1.34, p<0.001), yet the season and pace with the intervention were no longer synchronized, negating further 267 comparisons of intervention effects over time. (Figure 3A-F) 268 In year 3, the longitudinal analysis of weekly ImpAE data shows a pattern of 269 separation and overall difference between the adherent and non-adherent groups 270 271 (p=0.040 at 80%; p=0.004 at 60%) as well as a decrease of ImpAE over time (p=0.039)at both 80% and 60%). The further analysis of week-by-week data revealed significantly 272

- 273 fewer ImpAE for the adherent group when compared with non-adherent group in
- 274 multiple weeks at both the 80% and 60% thresholds. (Figure 4A-F)

275 Overall Group Comparisons at Study's Completion

276	The comparison of adherent versus non-adherent group by aggregate total of
277	ImpAE showed that participants in the adherent group (at 80%) experienced
278	significantly (p=0.020) fewer ImpAE (n=66: 1.88 \pm 0.28,) than those in non-adherent
279	group (n=155: 2.84 \pm 0.24). Similarly, participants in 60% adherent group had
280	significantly (p=0.002) fewer ImpAE (n=131: 2.06 \pm 0.20) than those in non-adherent
281	group (n=90: 3.26 ± 0.35). (Figure 5A-B)
282	These Imp/AE were spread out across the four locations of the helmet [Front,
283	Top, Side (L&R), and Back]. The comparison of adherent versus non-adherent group of
284	ImpAE per location showed that participants in the adherent group experienced
285	significantly fewer ImpAE than those in non-adherent group at the Top and Sides of the
286	helmet at both the 80% and 60% levels. (Table 1)
287	Overall cumulative impact burden also showed that the 60% adherent group
288	(n=131: 2,105.84g \pm 219.76,) sustained significantly (p=0.020) less force over the
289	course of the season when compared to the non-adherent group (n=90: 3,158.25g \pm
290	434.80).
291	The cumulative impact burden each player experienced on average with just
292	front, top, and side locations combined showed the adherent group (N=131: 2,105.84g \pm
293	219.76,) sustained significantly (p=0.020) less cumulative impact burden than the non-
294	adherent group (N=90: 3,158.25g $\pm434.80)$ at the 60% adherence level. Distribution of
295	cumulative impact burden by location showed no difference between adherent and non-
296	adherent groups at either level when combining only front and top locations. However,

when analyzing only side impacts, the 80% adherent group experienced significantly

301 1,361.94g \pm 249.23) (Figure 6A-F).

302 Discussion

This study provides additional evidence that a helmetless tackling and blocking 303 training intervention utilizing the HuTT[®] program reduces head impact exposure in high 304 school football players. Our most important finding was that participants, adhering to the 305 intervention on at least a 60% level, experienced a 34 to 37% reduction in the number 306 of head impacts (per exposure) by the end of the season. In practical terms and over a 307 308 season comprised of at least 58 exposures (practices and games), this result equates to 56-70 fewer impacts to the head and a 33% reduction (1,053g's) in impact magnitude 309 by the end of the season. Thus, football players who engaged in helmetless tackling 310 311 training at a frequency of 2 times per week (24 sessions over 12-week season) were likely to benefit from fewer head impacts and the associated reduction in impact force 312 (i.e., g's: gravitational acceleration) burden. 313

The data were analyzed according to two levels of adherence, and the results merit careful interpretation. Specifically, differences between the adherent and nonadherent groups were typically stronger at the 60% level compared to the 80% level, both numerically and statistically, yet this finding should not be interpreted to mean that fewer exposures to the treatment led to a stronger outcome. Rather, these differences were most likely because the lower threshold level (60%) increased the sample size since more participants met the lower threshold criteria than the higher level (80%).

Nagpal et al²³ recently described four possible scenarios for interpretations of exercise 321 322 intervention studies that can be drawn based on variations in adherence levels and 323 outcomes. While the scenarios typically involve comparisons between treatment and 324 control groups, the authors suggest that results of single studies, like this one, and 325 fitting any scenario should be interpreted with caution as there may be confounding 326 variables (e.g., population characteristics, study environment) that can influence 327 adherence or the outcome. This suggests that evaluating the impact of an intervention on health outcomes should arise from the context of systematic reviews that synthesize 328 329 similar study designs.

Until then, at least three studies^{14,15} have now tested the effectiveness of a 330 helmetless tackling training technique across various populations and environments and 331 each show positive outcomes from the intervention. The first investigation expressly 332 testing the effectiveness of a helmetless tackling intervention was conducted in a single 333 collegiate sample.¹⁴ Twenty-five participants were randomized on the individual level 334 within the team to undergo the helmetless tackling training program twice in the pre-335 season and only once in the regular season. The treatment group experienced 336 significantly fewer head impacts per exposure than the control participants, as well as 337 compared against their own pre-season level of head impacts per exposure. On the 338 high school level, participants were again randomized and within four different teams.¹⁵ 339 340 Results from that two-year study found the treatment group experienced fewer head impacts per exposure compared to the control group, but only during the mid-point of 341 the season. In other words, while the groups were similar at the pre-season, their 342 impacts per exposure separated during the mid-season, but returned to being similar 343

344 towards the end of the season. This study is the first to test the effectiveness of the 345 helmetless tackling training program across an entire team, with grouping according to 346 variable levels of adherence as opposed to a true control sample. The ability to affect. 347 and statistically detect, a change given the relatively narrow overall impact per exposure margin in our sample is encouraging. In the prior research exploring the effectiveness of 348 a helmetless tackling intervention, control subjects on the high school level experienced 349 nearly six Imp/E, depending on the week of the season, with 10 Imp/E during games.¹⁵ 350 In the smaller collegiate sample¹⁴, control subjects averaged almost 14 Imp/E. Whereas 351 our study, non-adherent participants experienced only around 2.2-3.2 Imp/E. 352

It is important to appreciate not only the overall frequency of impacts sustained 353 by high school football players during play, but also the magnitude (e.g., acceleration), 354 355 that these impacts entail. Research is increasingly focused on the accumulated burden these impacts impart to the human brain over time and the potential for neurological 356 consequences.^{10,27,30} Not only did the participants in our study who were adherent to the 357 intervention have fewer head impacts per exposure, they also experienced less 358 accumulated force burden over time. Extrapolated out over a 4-year high school career, 359 the magnitude of this outcome of potentially thousands less gravitational acceleration 360 units directed to the brain³¹, is substantial. 361

Relatedly, when focusing on the areas of the helmet most related to the behavior of leading with the head (i.e., top, front, sides),¹¹ it is encouraging that the decrease in head impacts was driven by significant decreases in impacts to the top and side of the helmet (as opposed to if they had been to the back of the helmet). Future research can help elucidate further whether the benefits of the training come not only in reducing

overall impacts to the head, but in shifting impacts away from the top and front of thehelmet.

369 It should be noted that the data reported herein was collected during unexpected 370 challenges born from the COVID-19 pandemic, directly affecting the fluidity of our intended research, as others involved in clinical-intervention based studies have 371 reported.³² For example, faced with an inability to do an in-person training prior to the 372 373 2021 (Year 2) as we had intended, we were forced to pivot to using virtual platforms as a way to communicate and educate coaches with the intervention season.³³ While the 374 advantages to using technology were necessary and allowed us to initiate the 375 intervention in that year, coach on-boarding and subsequent intervention deployment 376 was disadvantaged at the same time. Relatedly, there was unanticipated variation in 377 coach compliance in Year 2, in contrast to previous research where teams were fully 378 compliant.^{14,15} In fact, one of our teams decided to allow individual positional coaches to 379 carry out the intervention with their assigned skill group and on their own weekly 380 381 schedule leading to a variable dosing pattern and subsequent player adherence rates. Participant related issues were also a factor associated with the pandemic interruption. 382 The increase of community infection rates triggered a return to remote learning for 383 384 public schools and an eight-week suspension of interscholastic sports. Whatever was gained in consistency for research participants across teams, was disrupted due to the 385 386 priorities of public health administration during the pandemic. Finally, returning to sports participation also required proof of vaccination for participants which introduced 387 variation in overall attendance as well as with the intervention. In Year 3, however, we 388 389 were able to carry out the in-person training with an initial training and on-boarding clinic 391 coaches to plan for the intervention implementation. This no doubt contributed to the 392 stronger findings and statistical differences in our variables of interest in the final year. 393 In conclusion, further research is needed to better understand what intervention 394 (drill type & technique) and player characteristics (age, maturation, experience), and 395 intervention dosing (frequency, duration, intensity) should be used to garner the 396 strongest response whether across a full team or specific to an individual player. Ultimately, the association with decreasing head impact behavior and improved clinical 397 outcomes based on rigorous study design is critically needed to protect and promote 398 life-time participation in sports or recreational endeavors. 399 400 References 1. High School Athletics Participation Survey. National Federation of State High School 401 Associations; :74. Accessed November 8, 2023. chrome-402 extension://efaidnbmnnnibpcajpcglclefindmkaj/https://www.nfhs.org/media/5989280/2021 403 404 -22 participation survey.pdf 405 2. Kucera KL, Klossner DL, Cantu RC. Annual Survey of Catastrophic Football Injuries: 1977-2022. 406 National Center for Catastrophic Sport Injury Research; 2023:56. Accessed November 8, 407 2023. chrome-extension://efaidnbmnnnibpcajpcglclefindmkaj/https://nccsir.unc.edu/wp-408 content/uploads/sites/5614/2023/07/Annual-Football-Catastrophic-2022-FINAL-web.pdf 409 3. Kercher KA, Steinfeldt JA, Rettke DJ, et al. Association Between Head Impact Exposure, 410 Psychological Needs, and Indicators of Mental Health Among U.S. High School Tackle Football 411 Players. Journal of Adolescent Health. 2023;72(4):502-509.

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- 508 Legends to Figures
- 509 Figure 1. CONSORT Flow Diagram across 3 football seasons, Years 1-3 (2019, 2021,
- 510 2022), with participants from a total of six teams. Data from Year 1 are not reported in
- 511 this paper. B. Participant Summary. C Data Exclusions D. Adherence.
- 512 **Figure 2.** Regression Plots for Adherence and Head Impacts per Athlete Exposure
- 513 (ImpAE). A. Overall combined data from Years 2 and 3. B. Year 2 only. C. Year 3 only.
- **Figure 3.** Effect of the Intervention over time for Year 2. A-B. Weekly Head Impacts per
- 515 Athlete Exposure (ImpAE) used for linear mixed-effects model comparison between
- adherent and non-adherent groups at 60% and 80%. B-C. Mean difference between
- groups at 60% and 80%. D-E. False discovery rate (FDR) corrected t-test p value result
 at each week at 60% and 80%, dotted line indicates threshold of .05. Non-adherent
 group ImpAE was significantly less than Adherent group ImpAE at week 5. Note the
 dotted line and "x" symbol in the X-axis at week 5 indicates when two public school
- 521 teams resumed the season after an 8-week COVID-19 pause.

522 Figure 4. Effect of the Intervention over time for Year 3. A-B. Weekly Head Impacts per Athlete Exposure (ImpAE) used for linear mixed-effects model comparison between 523 adherent and non-adherent groups at 60% and 80%. B-C. Mean difference between 524 groups at 60% and 80%. D-E. False discovery rate (FDR) corrected t-test p value result 525 at each week, dotted line indicates threshold of .05. Adherent group ImpAE was 526 527 significantly less than the Non-adherent group ImpAE in weeks 2, 4, 8, and 11 when grouped at 80% adherence, and all weeks except 6, 9, and 10 when analyzed at 60% 528 level. 529

530 Figure 5. Mean Head Impacts per Athlete Exposure (ImpAE) in final season (Year 3). 531 A. Year 3 at 60%. Participants in the adherent group had significantly (p=0.020) fewer ImpAE than those in non-adherent group B. Year 3 at 80%. Participants in adherent 532 533 group had significantly (p=0.002) fewer ImpAE than those in non-adherent group. Figure 6. Mean Cumulative Head Impact Burden in final season (Year 3). A. Front, top, 534 side impacts combined at 80%. B. Front, top, side impacts combined at 60%. Adherent 535 536 group sustained significantly (p=0.020) less cumulative impact burden than the nonadherent group. C. Top and side impacts only at 80%. D. Top and side impacts only at 537 60%. E. Side impacts only at 80%. Adherent group had less (p=0.022) cumulative 538 impact burden than the non-adherent group. F. Top Side impacts only at 60%. Adherent 539 group had less (p=0.026) cumulative impact burden than the non-adherent group. 540 541











Year 3, ImpAE at 80%

А





В



	80%			60%		
ImpAE	Adh	NonAdh	P value	Adh	NonAdh	P value
Total	1.88 (0.28)	2.84 (0.24)	0.020 ^a	2.06 (0.19)	3.26 (0.35)	0.002 ^a
Front	0.78 (0.17)	0.63 (0.08)	0.369	0.59 (0.10)	0.80 (0.13)	0.195
Тор	0.29 (0.06)	0.83 (0.11)	0.002 ^a	0.50 (0.08)	0.92 (0.16)	0.012 ^a
Sides	0.54 (0.10)	1.14 (0.13)	0.004 ^a	0.72 (0.09)	1.31 (0.20)	0.003 ^a
Back	0.27 (0.05)	0.20 (0.02)	0.208	0.24 (0.03)	0.19 (0.03)	0.279

Table 1. Head ImpAE by Location Mean (SE)

^a indicates significantly fewer ImpAE comparing Adherent (Adh) to NonAdherent (NonAdh)