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Pre- and Post-Surgical Cutaneous Reflexes and Perceived Instability During Gait in an Individual

with Chronic Ankle Instability

Annalee M. H. Friedman, PhD, LAT, ATC*

Leif P. Madsen, PhD, LAT, ATC⁺

*Indiana State University, 567 N 5th St., Terre Haute, IN 47809, USA

†Indiana University, 1025 E 7th St, Bloomington, IN 47405, USA

Corresponding author: Annalee M.H. Friedman, PhD, LAT, ATC Indiana State University, 567 N 5th

St., Terre Haute, IN 47809, USA

Tel: (614)747-1998, E-mail: annalee.friedman@indstate.edu

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Abstract

Context: Recent studies exploring chronic ankle instability (CAI) have found alterations in cutaneous reflexes of musculature surrounding the ankle which may contribute to perceived instability and recurrent LAS seen in this population. CAI is considered a multifaceted condition, making it difficult to determine the underlying cause of these altered reflexes.

Objective: To observe how mechanical laxity of the ankle affects lower limb cutaneous reflexes and perceived instability during gait and how surgical intervention to correct laxity affects these measures.

Design: Clinical Case Report

Setting: Research Laboratory

Patient: A physically active 25-year-old female (64in;130lbs) with 7 previous lateral ankle sprains (LAS) and met CAI diagnostic criteria based on CAI questionnaire scores. The patient underwent a Broström reconstruction of the CFL via allograft and partial synovectomy.

Main Outcome Measures: CAI questionnaire scores, middle latency lower limb cutaneous reflexes, and perceived instability following sural nerve stimulation during gait.

Results: Post-surgery, the patient's CAIT and FAAM questionnaire scores aligned with those of a healthy individual. PL reflexes were diminished or inhibitory during the stance phases of gait. Pronounced variability of PL reflexes may have contributed to this lack of facilitation. BF facilitation at midstance was absent during both testing sessions while BF and RF facilitation was generally reduced post-surgical

intervention. The patient's average perceived instability following sural stimulation was markedly reduced from the pre-surgical (6.5 ± 0.48) to post-surgical (1.9 ± 0.24) session.

Conclusions: Mechanical instability likely contributed to the reflex variations seen in this patient presurgically, while the enhanced static stability provided by the surgical procedure may have reduced the need for dynamic stability via lower limb cutaneous reflexes observed in the follow-up session. Identifying the specific limitations experienced by an individual with CAI will allow for a more effective monitoring and treatment and provide improved long-term health-related quality of life outcomes.

Key Words: chronic ankle instability; cutaneous reflexes; perceived instability; ligamentous stability Key Points:

- Mechanical instability likely contributed to the cutaneous reflex alterations observed during gait, namely, a lack of protective PL and BF facilitation.
- The surgical intervention improved mechanical stability which likely improved perceptions of instability and reduced the need for dynamic stabilization via reflexive activity at the ankle and knee.
- Considering the multifaceted nature of CAI, specific symptoms should be identified on an individual basis to improve functional and patient-reported outcome measures.

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41 Introduction

Over 2 million lateral ankle sprains (LAS) occur in the U.S. annually, accounting for 42 approximately 15% of all athletic injuries.^{1,2} Half of LAS occurrences seen in U.S. emergency rooms are 43 not associated with athletics, indicating these injuries bear a significant impact on the general population 44 45 as well.¹ Up to 70% of individuals experiencing acute LAS are estimated to develop chronic ankle instability (CAI), a condition characterized by perceptions of ankle weakness or giving way, diminished 46 sensorimotor function, and recurrent LAS.^{1,3} Those with CAI often report lower health-related quality of 47 life, lower levels of physical activity, and are at a higher risk of developing early onset osteoarthritis, all 48 of which contribute to this condition's significant public health impact.^{4,5} While initially thought to stem 49 from mechanical deficiencies such as pathologic laxity, research from the last few decades has informed 50 the development of a new, more complex model of CAI, consisting of pathomechanical, sensory-51 perceptual, and motor-behavioral impairments.^{3,6} 52

Recent studies have found alterations in cutaneous reflexes of musculature surrounding the ankle 53 which may contribute to perceived instability, postural stability, and reinjury rates seen in those with the 54 CAI.⁷⁻¹¹ Since CAI is considered a multifaceted condition, patients present with a collection of 55 interrelated deficits making it difficult to determine the underlying cause of these altered reflexes.^{3,12} This 56 57 case study was conducted to explore lower limb cutaneous reflexes during gait and patient-reported outcome measures in a patient with known pathologic laxity of lateral ankle ligaments. Additionally, 58 while current literature¹³⁻¹⁶ provides substantial evidence for the pathomechanical and functional benefits 59 60 of Bröstrom reconstruction, little is known regarding the direct sensorimotor changes which may take 61 place following surgical intervention. Therefore, this case study also sought to identify alterations in 62 cutaneous reflex characteristics and perceived instability from cutaneous stimulation following allograft reconstruction to assess the impact of mechanical instability on neuromuscular recovery of LAS. This is 63 the only case study, to date, exploring these outcomes in an individual with CAI before and after surgical 64 65 intervention.

66 Case Presentation

The patient was a physically active 25-year-old female (64in; 130lbs) presenting with mechanical ankle instability as diagnosed by her orthopedic physician, specifically, a grade 3 tear of the calcaneofibular ligament (CFL) and presence of an os trigonum. A Broström reconstruction of the CFL via allograft was scheduled including a partial synovectomy and debriding of the affected region. The patient informed the researchers of their upcoming procedure and consented to participate in this case study which was approved by the university's Institutional Review Board.

73 Methods

Two days prior to surgical intervention, the patient reported to the lab for testing where they 74 completed the Identification of Functional Ankle Instability (IdFAI),¹⁷ Cumberland Ankle Instability Tool 75 (CAIT),¹⁸ and the Foot and Ankle Ability Measure (FAAM)¹⁹ which was modified to target instability 76 (see Appendix). Muscle activity of the tibialis anterior (TA), medial (MG) and lateral (LG) 77 gastrocnemius, peroneus longus (PL), biceps femoris (BF), and rectus femoris (RF) was measured via 78 wired bipolar surface electrodes which communicated with a Delsys Bagnoli EMG system (Delsys Inc., 79 Natick, MA). Sural nerve stimulations were administered via a stimulating bar electrode (Ambu, Inc., 80 Columbia, MD) affixed over the sural nerve just posterior to the lateral malleolus on the affected limb. 81 Stimulation intensity for testing was determined as 2x radiating threshold, ensuring the stimulation was 82 non-noxious and did not produce a withdrawal reflex. 83

The patient walked for approximately 20 minutes at 4km/hr on a treadmill. A DS7A constant current stimulator (Digitimer North America, LLC, Ft. Lauderdale, FL) connected to a custom-built latency device was used to administer 5-train pulse stimulations during the 8 phases of gait. Heel-toe sensors inserted into both shoes collected heel strike data used for timing of each stimulation which was manually entered into the custom-built device. Eight phases of the gait cycle were divided equally across the patient's stride, from the initial heel strike of the affected limb to subsequent heel strike of the same 90 limb. These phases represented heel strike (phase 1), stance (phases 2-4), toe-off (phase 5) and swing 91 (phases 6-8). Stimulation trials were randomized to ensure 10 stimulations occurred at each of the 8 phases. Following each stimulation trial, the patient reported their feelings of instability on a scale of 0 to 92 10, defined as "no instability" or "feels normal" (0) to "severe instability" or "you feel you may stumble" 93 94 (10). All EMG, stimulation, and heel strike data were recorded using a Biopac MP160 acquisition system and Acqknowledge 5.0 software (Biopac Systems, Inc., Goleta, CA). The patient returned approximately 95 5 months (148 days) later, having completed their prescribed rehabilitation program, received physician 96 clearance for full return to activity, and underwent the same study protocol as described. 97

98 Data Processing

All data processing was completed using AcqKnowledge 5.0 software (Biopac Systems, Inc., 99 Goleta, CA). Raw EMG data were filtered at a low frequency cutoff of 50Hz and high frequency cutoff of 100 500Hz. The root mean square (RMS) was then derived for the smoothed signals for each muscle. 101 Stimulated trials were reviewed for step timing consistency and a minimum of 9 trials were averaged for 102 each phase. Approximately 100 unstimulated trials were also ensemble averaged for comparison to 103 stimulated trials. To compare values between testing sessions, all waveforms were normalized as a 104 percentage of each muscle's maximum EMG amplitude during unstimulated trials (%MVC). 105 Unstimulated waveforms were subtracted from stimulated waveforms to acquire the final reflex trace. For 106 each muscle at each phase, middle latency reflex (MLR) values were identified as the mean amplitude of 107 108 the reflex waveform 80-120ms after the first pulse in the stimulation train. A postive MLR is indicative of 109 a faciliatory reflex while and a negative MLR is indicative of an inhibitory reflex. Unstimulated 110 amplitudes during this time window for each of the 6 muscles at all 8 phases were extracted for analysis 111 of background muscle activity. Additionally, normalized stimulated trials were extracted for analysis of reflex variability. 112

113 Comparative Outcomes

115	According to the International Ankle Consortium recommendations, the patient met CAI
116	diagnostic criteria based on responses to presurgical questionnaires (see Table 1). ²⁰ During their initial
117	session, the patient reported 7 previous sprains in their left ankle, and met CAI thresholds for the IdFAI,
118	CAIT, and FAAM subscales; Activities of Daily Living (ADL) and Sport. Chief complaints included pain
119	and instability during sport, and recurrent feelings of instability during ADLs, especially when walking
120	downstairs or on uneven surfaces. Upon returning post-surgical intervention and rehabilitation, the patient
121	no longer met CAI diagnostic criteria based on their responses on the CAIT or FAAM questionnaires.
122	Their IdFAI score was reduced by 14 points, however, it remained above the threshold for CAI diagnosis
123	due to their extensive LAS history prior to surgical intervention.
124	During the walking task pre-surgical intervention, the patient reported a 4 out of 10 for perceived
125	instability during unstimulated gait (prior to stimulated trials) and an average of 6.5±0.48 out of 10
126	perceived instability following sural nerve stimulation. Following surgery and recovery, the patient's
127	perceived instability during the walking task was reduced to 0 out of 10 at baseline and 1.9±0.24 out of 10
128	when stimulated.

129 *Reflex Patterns*

During the pre-surgical session, late stance facilitatory reflexes were observed in the TA which 130 131 were markedly reduced or absent during the post-surgical session, specifically, at phase 4 (20.6% to -132 0.93%) and phase 5 (53.3% to 22.1%). TA inhibition was observed at mid- and end-swing pre-surgically which were subsequently enhanced post-surgically, from -11.8% to -21.0% at phase 7 and from -22.1% to 133 134 -34.6% at phase 8. In the MG and LG, pronounced inhibition was observed throughout stance in the presurgical session which was enhanced post-surgically at phase 2 (MG: -19.7% to -23.9%, LG: -4.79% to -135 136 7.22%). However, gastrocnemius inhibitory reflexes were reduced from the pre-surgical session at phase 137 3 (MG: -48.9% to -26.9%, LG: -53.1% to -45.3%) and phase 4 (MG: -5.06% to -0.44%, LG: -17.1% to -

138 6.21%). Notable gastrocnemius facilitation was also observed pre-surgically at the swing to stance

- transition (phase 8) which was then reduced post-surgically (MG: 10.6% to 7.23%, LG: 7.23% to 5.64%).
- 140 Pre-surgical facilitation of the PL was reduced during the stance to swing transition post-141 surgically, specifically, at phase 5 (35.4% to 17.8%) and phase 6 (22.1% to 14.5%). PL reflexes which 142 were faciliatory during the swing to stance transition at the pre-surgical session became inhibitory post-143 surgically, namely at phase 1 (34.3% to -4.39%), and phase 8 (15.4% to -8.90%). Considerable BF and 144 RF facilitation was noted for most of the gait cycle during the pre-surgical session except for the BF at phase 3 (3.22%) and in the RF at phase 6 (0.85%). Faciliatory reflexes were then markedly reduced for 145 both muscles post-surgically, other than the BF at phase 7 and RF at phase 8 where facilitation was 146 somewhat enhanced (BF: 8.72% to 13.5%, RF: 24.4% to 25.7%). Figure 1 provides a visual 147 representation of reflex patterns across the gait cycle for all muscles measured. 148

149 Reflex Variability

In the TA, reflex variability was generally steady across the 8 phases of gait, peaking presurgically at phase 5 (±22.9%) and post-surgically at phase 6 (±22.3%). On average, TA reflex variability
was reduced by ±5.47% from pre-surgical to post-surgical session. In MG and LG, reflex variability was
almost identical pre- versus post-surgical intervention, increasing ±0.59% and ±0.90%, respectively.
However, variability in phase 3 was considerably greater during the post-surgical session (MG ±13.3%,
LG ±14.5%) compared to the pre-surgical session (MG ±10.5%, LG ±8.85%).

PL reflex variability was especially high pre-surgically, peaking at phase 8 (±36.6%) but was
markedly reduced (by ±8.10%) during the post-surgical session, peaking at phase 5 (±25.4%). This
reduction was especially notable during phase 2 where variability was lowered from 30.2% pre-surgically
to ±8.38% post-surgically. In the BF, variability was also notably reduced from pre- to post-surgical
session by ±5.1% on average. However, reflex variability at phase 7 was considerably elevated postsurgically, increasing from ±11.0% to ±40.3%. RF reflex variability was generally similar across both

sessions, exhibiting only ±1.00% reduction pre- to post-surgically, on average. Table 2 provides average
reflex variability and ranges across the gait cycle while Figure 2 provides visual representation of average
reflex variability at each phase of gait for all muscles measured.

165 Discussion

166 *Reflex Characteristics*

167 Our method of dividing the gait cycle into 8 even time points when calculating reflex amplitudes was necessary to accurately align and compare muscle activity between stimulated and unstimulated 168 169 trials. However, to discuss pertinent findings for clinical interpretation, subsequent discussion points 170 regarding the location of reflex measurements across the gait cycle will be presented in two ways; 1) referencing the phase number(s) in which the reflex variable was calculated and 2) using clinically 171 relevant descriptions to illustrate kinematics of the leg and foot at the time of the stimulation. For stance, 172 phases 1-2 represent the heel rocker (heel contacts the ground and the foot is lowered by rotating around 173 174 the heel), phases 2-4 represent the ankle rocker (foot is flat on ground and axis of rotation occurs at the talocrural joint), and phases 4-5 represent the *forefoot rocker* (rotation occurs at the metatarsophalangeal 175 joints to lift the heel and mid-foot off the ground in preparation for swing).²¹ 176

A visual inspection of the reflex amplitudes across the gait cycle show that the patient's 177 cutaneous reflex patterns pre- and post-surgical intervention in the TA, MG, and LG were generally well-178 aligned with those of healthy subjects in previous literature.^{7,22,23} The patient exhibited phase-dependent 179 reflex reversal of the TA across both testing sessions, specifically, a facilitation during the forefoot rocker 180 181 (phase 5) and inhibition during the limb placement stage of swing (phases 7 and 8). Though TA reflex patterns are generally the same across sessions, post-surgically, facilitatory activity was somewhat 182 183 reduced at the forefoot rocker, indicating a less effective response to dorsiflex the foot away from a 184 potential obstacle. However, the subject demonstrated greater inhibitory activity in late swing compared 185 to pre-surgical measures, which would provide for more rapid foot placing as the patient transitioned into 186 double-leg stance to maintain better postural stability. Gastrocnemius inhibition during stance, a

protective unloading mechanism commonly seen in healthy individuals,^{7,22} was exhibited across the ankle rocker, with the most pronounced inhibition occurring at phase 3 and to a lesser degree at phases 2 and 4. The post-surgical reduction in gastrocnemius inhibition during the ankle rocker suggests a diminished preparedness to potentially shift weight to the contralateral limb should perturbation continue and compromise balance. Perhaps the increased reflex variability observed post-surgically in both the MG and LG contributed to this reduced inhibition throughout the ankle rocker rotation at midstance.

Reflex patterns of the BF and RF were somewhat variable from those of healthy individuals.^{22,24,25} 193 In both sessions at mid ankle rocker (phase 3), the patient lacked BF facilitation which typically occurs in 194 tandem with RF facilitation to provide stabilization at the knee following an unexpected perturbation.²⁴⁻²⁷ 195 Co-facilitation of the BF and RF was exhibited throughout the other phases of stance (1, 2, and 4) during 196 the pre-surgical session, however, this facilitation was reduced post-surgically which would diminish 197 bracing at the knee during weight-bearing. As the limb advances into swing, facilitation of the BF is 198 expected to surpass that of the RF to allow for knee flexion as a mechanism of obstacle avoidance.^{22,24-26} 199 This reflex was more prominently exhibited during the post-surgical testing session where RF activity 200 decreased by 16.6% during the forefoot rocker (phase 5). While BF facilitation was somewhat reduced at 201 phase 5, reflex variability was also reduced during this time implying a more consistent response was 202 exhibited post-surgically. A lack of RF reflex at limb advancement (phase 6) was exhibited during both 203 testing sessions which, in combination with prominent BF facilitation, likely acts to further withdraw the 204 limb from a potential obstacle into the swing phase.^{22,24,25} 205

The most unique cutaneous reflex patterns were observed in the PL, where both CAI and healthy individuals alike present with consistent facilitation throughout the gait cycle. PL facilitation during weight-bearing would increase dynamic stability of the lateral ankle following an unexpected perturbation.^{7,8} The patient, however, exhibited facilitation only during the heel rocker (phase 1), forefoot rocker (phase 5), and limb advancement (phase 6) of the pre-surgical session. Post-surgically, PL facilitation at these phases was reduced or even reversed to inhibitory activity. During the pre-surgical session, the patient exhibited highly variable PL reflexes (1.31±32.56%) late into the heel rocker (phase
2), which then presented as inhibitory post-surgically (-15.4± 8.38%). PL reflexes throughout most of the
gait cycle were highly variable, which likely contributed to the lack of net reflexes observed throughout
most of the gait cycle. Following surgical intervention, PL facilitation was noted during the ankle rocker
(phase 3) which indicates improved protective mechanism at the ankle following a lateral perturbation.
Variability was also reduced at this phase post-surgical intervention which may have played a role in this
net PL facilitation.

219 Perceived Instability

While the cutaneous reflex patterns observed in this patient during gait are somewhat aligned 220 with those of healthy individuals (namely in the TA and gastrocnemius), others varied from patterns 221 previously seen in those with and without CAI. Considering the protective role cutaneous reflexes play 222 during gait, deviation from normal motor responses to perturbation may result in inadequate defense from 223 stumbling or injury and may manifest as a heightened perception of instability. A recent study¹¹ provides 224 evidence of this potential relationship, finding greater reflex variability of the PL is correlated with higher 225 levels of perceived instability following sural nerve stimulation. Mechanical instability resulting from 226 torn lateral ankle ligaments may contribute to the reflex variations seen in this patient during the pre-227 surgical session. Post-surgically, the general reduction in reflex activity seen across most muscles and 228 phases suggests that the improved static stability gained from the patient's allograft reconstruction 229 230 reduces the patient's reliance on reflexive dynamic stabilization. For example, during pre-surgical testing 231 we found that the muscles crossing the hip and knee (RF and BF) exhibited increased facilitation, and 232 thus co-activation, when the sural nerve was stimulated during the stance phase. These cutaneous reflexes 233 were likely present because there was reduced static stability at the ankle, and the lower limb 234 compensated by stabilizing joints higher up the kinetic chain to maintain a steady gait cycle on the 235 treadmill. Once surgical reconstruction was completed and the patient's mechanical ankle stability was

improved, the co-facilitation of muscles crossing the hip and knee was reduced suggesting proximal jointswere not assisting as substantially with lower limb dynamic stability.

238 While those with CAI are typically categorized based on symptoms of pain, instability, and 239 recurrent sprain history, the CAI subjects included in earlier studies may not have had significant 240 mechanical impairments. The addition of prominent mechanical limitations are unique features to this 241 patient which provide further insight to the relationship between sensorimotor and pathomechanical 242 deficits. Considering the inherent interconnectedness of pathologic laxity and sensorimotor deficits such as altered reflexes and perceived instability it is difficult to attribute the changes seen in this patient to 243 surgical or rehabilitative intervention.^{3,28,29} However, the outcomes explored in this study provide 244 important insights into neuromuscular and sensory-perceptual plasticity following a surgical intervention 245 aimed at improving pathomechanical function. 246

The post-surgical reduction in perceived instability observed in this patient may also be a result of 247 their rehabilitation protocol which the patient reported was more specific to their limitations and 248 249 performance-related goals than the conservative rehabilitations they underwent for previous ankle sprains. As a certified athletic trainer, the patient took agency over their rehabilitation after progression to full 250 weight-bearing, designing and modifying therapeutic exercises to align with their changing goals and 251 physical tolerance. The patient reported this autonomy, in addition to their knowledge of the improved 252 mechanical stability in their affected ankle following surgery, enhanced their confidence and ultimately 253 254 expedited their return to recreational exercise. In addition to patient-specificity, the patient also reported 255 early implementation of proprioceptive interventions, namely, post-operative scar, soleus, and plantar massage which may have played a role in the reflexive or perceptual changes observed.³⁰⁻³² 256

257 Clinical Bottom Line

258 Considering the heterogeneity of CAI, patients with this condition should be treated based on 259 their specific symptoms rather than a one-size-fits-all rehabilitation protocol.³³ Identifying the specific

- 260 limitations experienced by an individual with CAI will allow for more effective treatment and provide
- 261 improved long-term health-related quality of life outcomes. Establishing baselines for proprioceptive and
- 262 perceptual measures will also help in identifying specific sensorimotor deficits which exist prior to
- rehabilitation so clinicians may accurately monitor training-induced adaptive changes.³⁴⁻³⁶ The unique
- reflexive alterations observed in this case may act as another clinical marker for treating chronic
- symptoms among individuals with pathologic laxity in the ankle. Future research would benefit from
- 266 measuring cutaneous reflexes before and after reconstruction or throughout conservative rehabilitation to
- 267 determine how modulation patterns are affected by these interventions. As cutaneous reflexes may be
- 268 linked to perceptions of instability and other sensorimotor outcomes, understanding the origin of reflexive
- 269 changes following LAS may allow for more appropriate, patient-specific treatment and subsequent
- 270 reduction of future injury.

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Net Reflex Amplitude (%MVC)



Questionnaire	CAI Threshold Values	Pre-surgical	Post-surgical
IdFAI	≥11	31	17
CAIT	≤ 24	10	26
FAAM-ADL	< 90%	50%	97%
FAAM-Sport	< 80%	46%	90%
"Current Level of Instability"	N / A	Severely Abnormal	Normal (90%)
(FAAM)		(15%)	
Average Perceived Instability	N / A	6.5±0.48	1.9±0.24
(following sural stimulation)			

Muscle	Pre-Surgical Variability	Post-Surgical Variability
Tibialis Anterior (TA)	±14.1%	±8.63%
	(±9.28 to ±22.9%)	(±1.60 to ±22.3%)
Peroneus Longus (PL)	±25.0%	±16.9%
	$(\pm 5.49 \text{ to } \pm 36.8\%)$	(±8.38 to ±25.4%)
Medial Gastrocnemius (MG)	±6.48%	±7.07%
	$(\pm 0.43 \text{ to } \pm 12.1\%)$	(±0.98 to ±13.3%)
Lateral Gastrocnemius (LG)	±4.39%	±5.29%
	(±1.21 to ±9.29%)	$(\pm 1.02 \text{ to } \pm 14.5\%)$
Biceps Femoris (BF)	±24.4%	±19.3%
	(±2.79 to ±55.7%)	(±2.55 to ±40.3%)
Rectus Femoris (RF)	±17.2%	±16.2%
	$(\pm 3.22 \text{ to } \pm 26.9\%)$	$(\pm 2.03 \text{ to } \pm 26.6\%)$

±19.39 (±2.55 to ±4 ±16.29 (±2.03 to ±2 (±2.03 to ±2